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PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LEE et al. Examiner: Unassigned  
Serial No.: 10/824,941 Group Art Unit: 3736  
Filed: April 15, 2004 Docket No.: GUID.105PA  
(02-115)

Title: SYSTEM AND METHOD FOR CHARACTERIZING PATIENT RESPIRATION

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 29, 2004.

By: Kelly S. Wiltigney  
Kelly S. Wiltigney

COMMUNICATION REGARDING POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is respectfully requested that the following Patent Practitioners, also named in the attached and executed Power of Attorney (§ 1.63 Combined Declaration and Power of Attorney), are to be recognized by the U.S. Patent and Trademark Office as being of record in patent application Serial No. 10/824,941 to which the Power of Attorney is directed:

Hollingsworth, Mark A.	Reg. No. 38,491	Funk, Steven R.	Reg. No. 37,830
Davis, Clara	Reg. No. 50,495	Crawford, Robert J.	Reg. No. 32,122
Maunu, LeRoy D.	Reg. No. 35,274	Bryan K. Phillips	Reg. No. 46,990
Lynch, David	Reg. No. 36,204	Richard R. Clapp	Reg. No. 31,751
Tyler L. Nasiedlak	Reg. No. 40,099	Lori J. Heinrichs	Reg. No. 43,667

In accordance with 37 C.F.R. § 1.32(c)(2), no more than ten (10) patent practitioners are requested to be recognized in this paper.

Issuance of an Updated Filing Receipt, whereupon the aforementioned patent practitioners are identified of record, is therefore respectfully solicited.

Date: October 29, 2004

CRAWFORD MAUNU PLLC  
1270 Northland Drive, Suite 390  
St. Paul, MN 55120  
(651) 686-6633 x104

By: Mark A. Hollingsworth  
Name: Mark A. Hollingsworth  
Reg. No.: 38,491



CRAWFORD MAUNU PLLC

United States Patent Application

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
**SYSTEM AND METHOD FOR CHARACTERIZING PATIENT RESPIRATION.**

The specification of which

- a. ☐ is attached hereto  
b. ☒ is entitled **SYSTEM AND METHOD FOR CHARACTERIZING PATIENT RESPIRATION**, having attorney docket number **GUID.105PA (CPI 02-115)**.  
c. ☒ was filed on April 15, 2004 as application serial no. 10/824,941 and was amended on (if applicable) (in the case of a PCT-filed application) described and claimed in international no. filed and as amended on (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☒ no such applications have been filed.  
b. ☐ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S).			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)
60/504,228	18 September 2003 (18.09.03)

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Hollingsworth, Mark A.	Reg. No. 38,491	Funk, Steven R.	Reg. No. 37,830
Davis, Clara	Reg. No. 50,495	Crawford, Robert J.	Reg. No. 32,122
Maunu, LeRoy D.	Reg. No. 35,274	Curtin, Eric J.	Reg. No. 47,511
Lynch, David	Reg. No. 36,204	Bryan K. Phillips	Reg. No. 46,990
Tyler L. Nasiedlak	Reg. No. 40,099	Richard R. Clapp	Reg. No. 31,751
Lori J. Heinrichs	Reg. No. 43,667		

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Crawford Maunu PLLC.

Please direct all correspondence in this case to Crawford Maunu PLLC at the address indicated below:

Crawford Maunu PLLC  
1270 Northland Drive, Suite 390  
St. Paul, Minnesota 55120

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name LEE	First Given Name KENT	Second Given Name
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Signature of Inventor 201:			Date: 3 August 2004	
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Signature of Inventor 203:			Date: 28 July 04	

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Signature of Inventor 204:			Date: 8/2/04	
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Signature of Inventor 205:			Date: 7-29-04	

#### § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;
- or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.